

Evaluación de los Socios Comerciales



U.S. Customs and
Border Protection



Field Operations



Evaluación de los Socios Comerciales

- Estándares General – las empresas tienen que tener procesos escritos y verificables para la selección de asociados de negocios, incluso transportistas, otros fabricantes, proveedores y vendedores de productos (proveedores de partes y materias primas, etc.)



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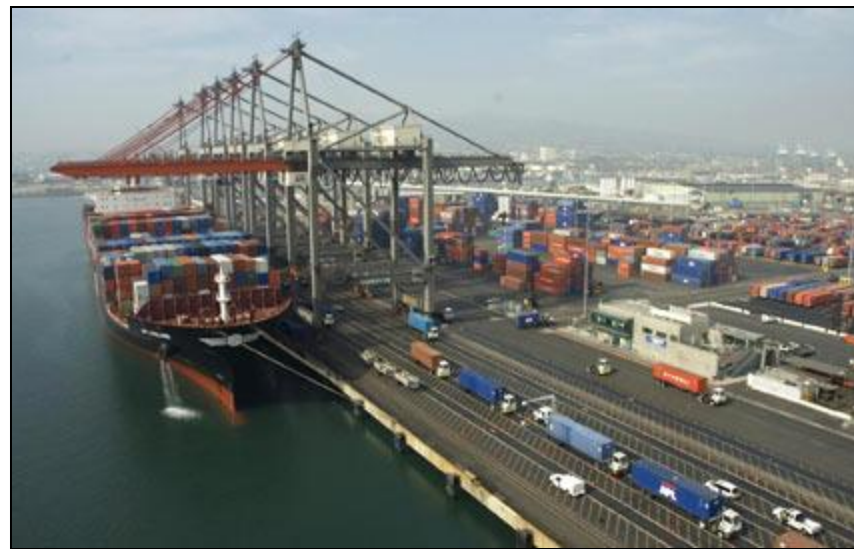


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- **Quienes son:** Parte de la cadena logística que no necesariamente controla la empresa, transportista o el proceso pero puede asegurar la complacencia de la entidad responsable.
- **La meta de C-TPAT:** Enfocar en la seguridad física de la producción, transportación y los elementos del proceso de importación.



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❑ Procedimientos de Seguridad

1. Asociados elegible para C-TPAT/ NEEC
 - Numero de SVI (Status Verificación Interface)
 - Verificar y Actualizar los Numero de SVI
 - Documentación
2. Asociados no elegible para C-TPAT/ NEEC
 - Procedimientos/ Contratos de Seguridad
 - Visitas
 - Auditorias
3. Participación en un programa de seguridad acreditada por la Organización Mundial de Aduanas (OMA)
4. Certificación en otro programas reconocidos al Nivel Mundial



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[My Account](#) [Logout](#)

CTPAT Test Importer
CHANGE PARTNER

[HOME](#) [SEARCH](#) [PARTNER](#) [DOCUMENTS](#)

[Home](#) / Status Verification

C-TPAT, Status Verification |

34086723

Partner Name: CTPAT Test Importer
Doing Business As:
C-TPAT Account Number: 34086723
Business Type: Importer
Account Status: Certified, Validated

SCSS: [test scss](#) (test-scss@cbp.dhs.gov)
Phone: 703 555-1212
Office: NY
Primary Point of Contact: [Diana Lieber](#) (dianali@aol.com)
Phone: 202-344-2563

MINIMIZE

MANAGE YOUR STATUS VERIFICATION TOKEN (SVI)

5.2 Your SVI 037a70ab-3e2d-402a-bc7e-961ff7d1db5e

[Generate SVI](#)

[Revoke SVI](#)

EMAIL YOUR STATUS VERIFICATION TOKEN (SVI) TO OTHER PARTNERS

5.3 Email Addresses (separate each email address with a comma)

[Email SVI](#)

5.4 Email History

No email history for the current SVI.

MONITOR OTHER PARTNERS' STATUS

	C-TPAT PARTNER	STATUS
Delete	Allegro International Service, Inc.	Certified
Delete	CTPAT Test Importer	Certified

5.5 Additional SVI to Monitor

[Add SVI](#)

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Documentos

- Proceso de adquiera nuevos proveedores
- Proceso de proveedores establecido
- Departamento que aprueba nuevos proveedores
 - Incluye a departamento de seguridad

Visitas

- Basado en riesgo y volumen
- Quien es responsable
- Cada cuanto tiempo
- Hacen recomendaciones

Auditorias

- Quien audita la visitas
- Quien audita la documentación
- Quien audita los procesos de seguridad



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	A	B	C	D	E	F	G	H	I	J
1	C-TPAT FACTORY SECURITY QUESTIONNAIRE									
2										
3										
4	Facility Name: _____					Dated: _____				
5										
6	Facility Address: _____									
7										
8										
9										
10	Factory Phone # _____					Factory Fax # _____				
11										
12	Factory Email _____					# of Yrs in Business _____				
13										
14	Business Partners									
15	1. Do you have written/electronic information that business partners have practices in place									
16	that are consistent with C-TPAT security criteria or an equivalent World Customs Organization									
17	accredited security program? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
18										
19	Point of Origin									
20	2. Do you have practices in place to ensure the integrity of shipments at point of origin,									
21	assembly or manufacturing? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
22										
23	Foreign Customs Supply Chain Security Programs									
24	3. Is your facility certified in a security program administered by your government? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
25	4. Do you know if your business partners are certified in a security program administered by									
26	their government? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
27										
28	Security Procedures									
29	5. Do you confirm if you are using carriers that are approved under C-TPAT or other government									
30	administered security programs? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
31										
32	Container and Trailer Security									
33	6. Is the loading / unloading process monitored by security or supervisory personnel? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
34	7. Are loading procedures that are in place designed to avoid loading of unauthorized materials									
35	or human concealment or smuggling? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
36	8. Are the locking mechanisms of container/trailer doors examined before loading? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
37	9. Do containers go through a seven-point inspection, by you or the issuing carrier, including:									
38	a. Front wall; b. Left side; c. Right side; d. Floor; e. Ceiling/roof; f. Inside/Outside doors;									
39	g. Outside/Undercarriage <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
40	10. Do trailers go through a five-point inspection, by you or the carrier, including:									
41	a. Fifth wheel area - check natural compartment/skid plate; b. Exterior - front/sides;									
42	c. Rear - bumper/doors; d. Front wall; e. Left side <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
43	11. Do all seals used meet or exceed the PAS ISO 17712 standard for high security seals <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
44	12. Are security seals placed on arriving and departing containers/trailers <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
45	13. Are seals verified at the time of loading/unloading <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
46	14. Is there a security seal control record in place <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
47										
48	Container and Trailer Storage									
49	15. Are containers/trailers kept in separate parking areas from employee/guest parking? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
50	16. Are full and empty containers/trailers stored door to door to prevent unauthorized access? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
51	17. Are containers/trailers/trucks locked and sealed after loading is complete? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
52										
53	Physical Access Controls									
54	18. Do you employ security guards? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
55	19. Do security guards patrol the premises on a regularly scheduled basis? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
56	20. Are employees subject to required or periodic inspections when entering the facility? <input type="checkbox"/> N/A <input type="checkbox"/> YES <input type="checkbox"/> NO									
57										

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Current User: CNS

Name	A-SERVE CO	Contact	IVAN HWANG
Address			
City		State	Zip
Tel	626-810-3320	Email	Fax 27460703
Country		Category Manufacturer	Status
Comm			

Print Questions Form

Questions for : A-SERVE CO

1. PHYSICAL SECURITY

A. TERMINAL / FACILITY STRUCTURE

General Standard: All buildings used to house cargo and associated support buildings should be constructed of materials, which resist unlawful entry. The integrity of the structure, perimeter fencing and lighting must be maintained through periodic inspections and repairs. Security protection should be provided for all doors and windows.

- Yes Is the facility constructed of materials which would resist unauthorized access or protect against outside intrusion?
- Yes Are adequate locking devices used on all outside doors, windows and gates?
- Yes Are adequate locking devices used on internal doors, windows and gates?
- Yes Is the facility perimeter protected from unauthorized access by fencing or other barrier?
- Yes Are there open areas through floors, roof or walls which would allow unauthorized access to the facility?
- Yes Is an intrusion alarm system utilized at the facility?

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- Organización Mundial de Aduanas:**
 - OMA/ WCO Frame Work of Standards

- Operador Económico Autorizado (AEO)**

- International Organization for Standardization (ISO)**
 - ISO 9000, 9001, 9002, 14000, 14001, TS16949 y ISO 28000

- Transported Asset Protection Association (TAPA)**

- International Ship and Port Facility Security Code (ISPS)**

- Sarbanes-Oxley Act of 2002 (SOX)**
 - Procedimientos de Seguridad IT robustos



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