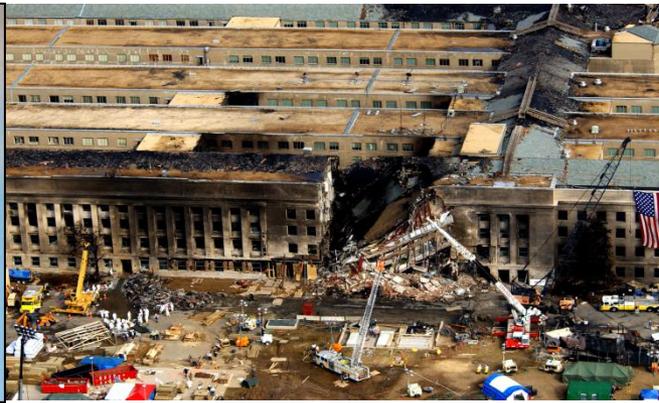




9 Octubre 2014

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C-TPAT International Branch, Washington, D.C.



Nuestra Misión

“Detectar y evitar la entrada de terroristas y armas bajo control de terroristas a los Estados Unidos, y de manera eficiente facilitando el flujo de comercio legítimo y de gente en, y a través de las fronteras de nuestra nación”.



Los Retos de CBP

- Existen vulnerabilidades dentro de las cadenas logísticas
- El volumen de negocio internacional sigue aumentando



Porta Contenedores



		Length	Draft	TEU
First (1956-1970)	 Converted Cargo Vessel	135 m	< 9 m < 30 ft	500
	 Converted Tanker	200 m		800
Second (1970-1980)	 Cellular Containership	215 m	10 m 33 ft	1,000 – 2,500
Third (1980-1988)	 Panamax Class	250 m	11-12 m 36-40 ft	3,000
		290 m		4,000
Fourth (1988-2000)	 Post Panamax	275 – 305 m	11-13 m 36-43 ft	4,000 – 5,000
Fifth (2000-2005)	 Post Panamax Plus	335 m	13-14 m 43-46 ft	5,000 – 8,000
Sixth (2006-)	 New Panamax	397 m	15.5 m 50 ft	11,000 – 14,500



En un día típico...

Procesamos:

- 932,456 pasajeros internacionales
- 64,483 contenedores/remolques transportados por carretera, tren, o mar
- 51,391 viajeros arribando por barco
- 253,821 vehículos

Recaudamos:

- \$88.3 millones en impuestos, multas, etc.
- 13,356 kilos de narcóticos dentro de y entre puertos de entrada
- \$345,687 en fondos ilícitos o no declarado
- 993 arrestos y/o aprehensiones
- \$652,000 mercancía prohibida o ilícita

Nuestra Estrategia

- **ACUMULAR INFORMACIÓN/INTELIGENCIA**

- ✓ Informantes Confidenciales, Miembros de Comercio, Organizaciones de Comercio, Gobiernos Extranjeros, Agencias Federales, Fuentes Públicas

- **INFORMACIÓN ELECTRONICA ANTICIPADA Y ANÁLISIS**

- ✓ El “Trade Act”, La Regla de 24- Horas, “Security Filing” (10+2) y Los “National Targeting Center” (NTC) Pasajeros y Carga

- **TECNOLOGÍA AVANZADA DE INSPECCIONES**

- ✓ Non-intrusive Inspection Technologies (NII), Radiation Portal Monitors (RPM), Radiation Isotope Detectors (RID)

- **EXAMINAR CARGA ANTES DE ENTRAR A LOS EEUU**

- ✓ Container Security Initiative (CSI), Secure Freight Initiative (SFI)

- **ASOCIACIÓN CON LA COMUNIDAD DEL COMERCIO**

- ✓ Customs-Trade Partnership Against Terrorism (C-TPAT)

Nuestra Estrategia

Customs-Trade Partnership Against Terrorism (C-TPAT)

- Lanzado después de los acontecimientos del 11 de septiembre 2001.
- Una iniciativa conjunta entre el gobierno Norteamericano y el sector privado cuyo objetivo es construir relaciones de cooperación que fortalezcan la seguridad de toda la cadena de suministro y la seguridad en las fronteras.
- A través de esta iniciativa, CBP esta solicitando a los negocios el asegurar la integridad de sus practicas de seguridad y comunicar sus lineamientos de seguridad a sus socios comerciales dentro de la cadena de abastecimiento.
- Ofrece la oportunidad de tomar un papel activo en la guerra contra el terrorismo.
- Reconoce y facilita la circulación del comercio legítimo.



SECURITY

Análisis de Riesgo

El proceso de identificar amenazas, vulnerabilidades, y debilidades de seguridad en la cadena de suministro internacional y el mando de acciones correctivas con procedimientos de verificación para asegurar que debilidades han sido corregidas.

Descripción de la Cadena de Suministro



Ejemplo de lista de verificación-Auditorias

LISTA DE VERIFICACION PARA AUDITORIAS INTERNAS C-TPAT (Customs Trade Partner Against Terrorism)

Requirement 2 (CTPATHR02 B) Conveyance Security, Conveyance Inspection Procedures	Compliance			Evidence	Auditor Recommendations
	Yes	No	N/A		
Using a checklist, drivers should be trained to inspect their conveyances for natural or hidden compartments. Training in conveyance searches should be adopted as part of the company's on-the-job training program. Conveyance inspections must be systematic and should be completed upon entering and departing from the truck yard and at the last point of loading prior to reaching the U.S Border.					
<ul style="list-style-type: none"> A thorough inspection of the cab is conducted in order to detect unauthorized items, contraband, weapons etc. and documented on the Sony Preload Inspection form? 					
<ul style="list-style-type: none"> Do you have procedures in place to inspect Trucks and Trailer prior to loading and departure? 					
<ul style="list-style-type: none"> When any Incoming trailers do not passed the inspection, which is the procedure that your company has in place? 					
<ul style="list-style-type: none"> Reload inspection observations by the Warehouse Supervisor are noted on the Sony Cargo Interchange/Exchange Report. 					
<ul style="list-style-type: none"> Do have specific training for employees that are related to the CTPAT and US customs initiatives? 					
<ul style="list-style-type: none"> There is a "7 Point Inspection" process defined by CBP procedure that is been performed to the containers? 					
<ul style="list-style-type: none"> How does the involve people on the "7 points inspection process" were performed? Show records of the training 					
<ul style="list-style-type: none"> Arrival notification log maintained, Security? Records kept for incoming containers and trucks. 					
<ul style="list-style-type: none"> Truck and Trailer inspections conducted prior to loading. 					
<ul style="list-style-type: none"> Truck and Trailer inspections conducted prior to departure from warehouse. 					

Ejemplo de lista de verificación-Auditorias

	Documented Procedures	EVIDENCE OF IMPLEMENTATION	How far back did you go?
Risk Assessment	<input type="checkbox"/> Document/checklist/form <input type="checkbox"/> None <input type="checkbox"/> WRITTEN PROCEDURES <input type="checkbox"/> Documentation uploaded in portal <input type="checkbox"/> Other _____	<input type="checkbox"/> Reviewed and obtained copy of procedures/documentation/files <input type="checkbox"/> Reviewed procedures/documentation/files - no copy obtained <input type="checkbox"/> Observed process / site tour <input type="checkbox"/> Photo evidence / document scanned <input type="checkbox"/> Explanation of compliance by management / department employee	<input type="checkbox"/> Current week _____ <input type="checkbox"/> 1-6 months _____ <input type="checkbox"/> 6-12 months _____ <input type="checkbox"/> Over 12 months _____
Business Partners	<input type="checkbox"/> Document/checklist/form <input type="checkbox"/> None <input type="checkbox"/> WRITTEN PROCEDURES <input type="checkbox"/> Documentation uploaded in portal <input type="checkbox"/> Other _____	<input type="checkbox"/> Reviewed and obtained copy of procedures/documentation/files <input type="checkbox"/> Reviewed procedures/documentation/files - no copy obtained <input type="checkbox"/> Observed process / site tour <input type="checkbox"/> Photo evidence / document scanned <input type="checkbox"/> Explanation of compliance by management / department employee	<input type="checkbox"/> Current week _____ <input type="checkbox"/> 1-6 months _____ <input type="checkbox"/> 6-12 months _____ <input type="checkbox"/> Over 12 months _____
Conveyance Security Inspection	<input type="checkbox"/> Document/checklist/form <input type="checkbox"/> None <input type="checkbox"/> WRITTEN PROCEDURES <input type="checkbox"/> Documentation uploaded in portal <input type="checkbox"/> Other _____	<input type="checkbox"/> Reviewed and obtained copy of procedures/documentation/files <input type="checkbox"/> Reviewed procedures/documentation/files - no copy obtained <input type="checkbox"/> Observed process / site tour <input type="checkbox"/> Photo evidence / document scanned <input type="checkbox"/> Explanation of compliance by management / department employee	<input type="checkbox"/> Current week _____ <input type="checkbox"/> 1-6 months _____ <input type="checkbox"/> 6-12 months _____ <input type="checkbox"/> Over 12 months _____
Physical Access Controls	<input type="checkbox"/> Document/checklist/form <input type="checkbox"/> None <input type="checkbox"/> WRITTEN PROCEDURES <input type="checkbox"/> Documentation uploaded in portal <input type="checkbox"/> Other _____	<input type="checkbox"/> Reviewed and obtained copy of procedures/documentation/files <input type="checkbox"/> Reviewed procedures/documentation/files - no copy obtained <input type="checkbox"/> Observed process / site tour <input type="checkbox"/> Photo evidence / document scanned <input type="checkbox"/> Explanation of compliance by management / department employee	<input type="checkbox"/> Current week _____ <input type="checkbox"/> 1-6 months _____ <input type="checkbox"/> 6-12 months _____ <input type="checkbox"/> Over 12 months _____

Análisis de Riesgo/Auditorías Internas

- Se pueden realizar a la misma vez
- Cada departamento debe ser involucrado
- Elegir miembros de distintas áreas para realizar auditorias en otras áreas
- Documentación es esencial; hallazgos, corrección, y seguimiento



Análisis de riesgo en 5 pasos

- Trazando el flujo de mercancía e identificando socios comerciales
- Análisis de amenazas enfocado en: Terrorismo, contrabando de materiales ilícitos, contrabando de humanos, crimen organizado, condiciones en un país/región que puedan aumentar el riesgo de tales amenazas, y clasificar el riesgo - Alto, Medio, Bajo
- Análisis de vulnerabilidad de acuerdo con los criterios de C-TPAT y clasificar la vulnerabilidad - Alto, Medio, Bajo
- Elaborar un Plan de acción.
- Documentar cómo se realizan los análisis de riesgo.

Business Partner Security Profile Questionnaire

Business Partner Data

Business Name: _____

Address: _____

City/Country: _____

Owner: _____

Type of Business: _____

Description of services/product offered: _____

Years in business: _____

Point-of-Contact (if applicable: Security Manager)

Name / Title: _____

Telephone: _____ FAX: _____

E-mail: _____

Locations of other businesses/offices/terminals:

Carrier only: does the company own and operate only tractors and no trailers in their terminal/facility: _____

Carrier only: does the company own and store trailers in their terminal/facility: _____

Number of tractors: _____ Number of trailers: _____

Business Partner Reference:

C-TPAT Certification Status

SVI # - if Certified: _____

Descripción de la Cadena de Suministro



- Seguridad no es prioridad
- Socios comerciales desconocidos
- No Visitas / Auditorias
- No participa en ningún programa de seguridad



Seguridad en la cadena de suministro

Logros del programa C-TPAT

10,809 - Certified Partners

164 - Staffing Level

336 - Tier 3 Importers

26,010 - Total Validations Completed

12,645 - Initial Validations Completed

13,365 - Revalidations Completed

Importers 4322

Carriers 3156

Foreign Mfrs. 1437

Brokers 864

Consolidators/3PL's 970

MPTO's 60

Number of completed validations by year:

2013: 578 Initial Validations + 1,553 Revalidations = 2,131 Total Validations

2014: 369 Initial Validations + 1,281 Revalidations = 1,650 Total Validations

1,908 - Total Suspensions

1,32 - Total Removals

Validations Completed by Year



Program Initiatives:

8 - **Mutual Recognition Arrangements:** New Zealand, Canada, Jordan, Japan, Korea, European Union, Taiwan, Israel

4 - **Mutual Recognition Projects:** Singapore, China, Mexico, Switzerland

12 - **Technical Assistance Projects:** India, Turkey, Jamaica, Dominican Republic, Honduras, Panama, Colombia, Chile, Peru, Uruguay, Brazil, Costa Rica

4 - **Partner Government Agencies:** FDA, TSA, USDA, Coast Guard

Gracias